

## **Instructions for Related Party Questionnaire**

To answer questions 1-5, please use the following IRS definitions for related parties

- Business relationship = Employment and contractual relationships, and common ownership of a business where any officers, directors, or trustees, individually or together possess more than a 35% ownership interest in common. Ownership means voting power in a corporation, profit interest in a partnership or beneficial interest in a trust.
- Family includes = the individual's spouse, ancestors, children, grandchildren, great-grandchildren, siblings and spouses of children's grandchildren, great-grandchildren and siblings.
- Related person = A person who exercises substantial influence over your organization also exercises substantial influence over the other organization.
- Close connection = You control the organization through common officers, directors, or trustees, or through authority to approve budgets or expenditures

In these instructions, the word "you" includes related parties and Hope Funds is the same as Hope Funds for Cancer Research.

1. In general this question is asking you if you have done business with the Hope Funds. Have you bought goods/services from the Hope Funds or have you sold goods/services to the Hope Funds? Hope Funds has not done business with any donors or Trustees so the answer should be NO
2. In general this question is asking you if you are planning to do business with the Hope Funds. Have you had discussions regarding buying goods/services from the Hope Funds or have you approached the Hope Funds about buying goods/services from you? The Hope Funds is not in discussion with any donors or Trustees regarding business, so this answer should be NO.
3. Have you loaned money to the Hope Funds, or does the Hope Funds owe you money? The Hope Funds has not borrowed any money so the answer would be NO
4. At this time the Hope Funds for Cancer Research does not offer any pensions, retirement, savings, or similar plans; therefore the answer is NO.
5. At this time there is no management control or ownership of the Hope Funds for Cancer Research, so the answer should be NO.
6. Disclose the Boards on which you serve.

Please complete and sign the form and email or fax to:

[sam@omegasea.com](mailto:sam@omegasea.com)

Fax: 401-846-2275

**Hope Funds for Cancer Research  
Related Party Questionnaire**

1. Have you or any related party of yours had any material interest, direct or indirect, in any transaction since January 1, 2015 to which the Hope Funds for Cancer Research was, or is to be, a party?

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2. Do you or any related party of yours have any material interest, direct or indirect, in any pending or incomplete transaction to which the Hope Funds for Cancer Research is, or is to be, a party?

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3. Have you or any related party of yours been indebted to the Hope Funds for Cancer Research at any time since January 1, 2015? Please exclude amounts due for purchases on usual trade terms for ordinary travel and expense advances.

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4. Have you or any related party of yours had any material interest, direct or indirect, in any transaction since January 1, 2015, or in any pending or incomplete transaction, concerning any pension, retirement, savings, or similar plan provided by the Hope Funds for Cancer Research? Do not include payments to a plan or payments by the plan made pursuant to the terms of the plan.

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5. The Hope Funds for Cancer Research is required to disclose the name(s) of related parties, including individuals, if such parties have an ownership or management control relationship with the Hope Funds for Cancer Research sufficient to exert significant influence over the Hope Funds for Cancer Research's management or operating policies, and thereby significantly affect its operating results or financial position. If this is the case, please indicate the nature of the relationship.

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6. Please list the Boards (non-profit and corporate) where you currently serve.

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The answers to the foregoing questions are correct to the best of my knowledge and belief.

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Signature

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Date

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