

# Hope Funds for Cancer Research

## Whistleblower Policy

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### General

As a charity, the Hope Funds for Cancer Research has an obligation to maintain the public trust. It is incumbent upon the members of the Board of Trustees, Council of Advisors, Scientific Advisory Council, and the officers, employees and volunteers to conduct the affairs of the Hope Funds for Cancer Research with a commitment to the highest standards of integrity, as set forth in the Hope Funds Code of Ethics.

### Reporting Policy

This Whistleblower Policy is intended to encourage and enable members of the Board of Trustees, Council of Advisors, Scientific Advisory Council, and the officers, employees and volunteers and others to report suspected or potential conflicts of interest and/or suspected or potential violations of the Hope Funds Code of Ethics without fear of recrimination. A member of the Board of Trustees, Council of Advisors, Scientific Advisory Council, or officer, employee or volunteer, who in good faith, reports suspected or potential conflicts of interest and/or suspected or potential violations of the Hope Funds Code of Ethics shall be protected from harassment, retaliation and adverse employment consequences.

### Reporting Violations

Members of the Board of Trustees, Council of Advisors, Scientific Advisory Council, and the officers, employees and volunteers and others are encouraged to report suspected or potential conflicts of interest and/or suspected or potential violations of the Hope Funds Code of Ethics to a supervisor or directly to the Audit Committee. The Audit Committee has the authority to investigate reported conflicts of interest and violations of the Hope Funds Code of Ethics. The Audit Committee shall, at its discretion, advise the Chairman of the Board of all reported conflicts of interest and violations of the Hope Funds Code of Ethics. The Audit Committee shall adopt procedures for promptly investigating all reports and taking appropriate corrective action if warranted by the investigation.

### Acting in Good Faith

Anyone reporting a conflict of interest and/or violation of the Hope Funds Code of Ethics shall act in good faith and have reasonable grounds for believing the information disclosed is true. Any allegations that prove not to be substantiated and which prove to have been made maliciously or knowingly to be false will be viewed as a serious disciplinary offense.

### Confidentiality

Violations or suspected violations may be submitted on a confidential basis by the complainant or may be submitted anonymously. Reports of violations or suspected violations will be kept confidential to the extent possible, consistent with the need to conduct an adequate investigation, in the discretion of the Audit Committee.